

U.S. PAT. & TRADEMARK OFFICE  
AUG 2 3 2002

Practitioner's Docket No.: 815\_001

#10 9.18.02 2834  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Masahiko NAMERIKAWA, Kazuyoshi SHIBATA and Masaki IWAMOTO

Ser. No.: 09/918,274

Art Unit: 2834

Filed: July 30, 2001

Examiner: Budd, Mark O.

Confirmation No.: 2785

For: PIEZOELECTRIC/ELECTROSTRICTIVE ELEMENT AND  
PIEZOELECTRIC/ELECTROSTRICTIVE DEVICE AND PRODUCTION METHOD  
THEREOF

Assistant Commissioner for Patents  
Washington, DC 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on August 23, 2002 under "EXPRESS MAIL" mailing label number EL795239044US.

Elizabeth A. VanAntwerp

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but **before** the mailing date of either:
  - (1) a final action under § 1.113,
  - (2) a notice of allowance under § 1.311 or
  - (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

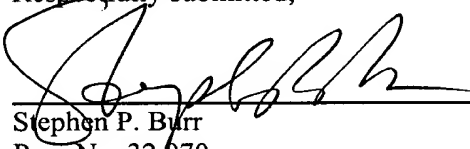
B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$00.00

**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$\_\_\_\_\_.  
☐ Charge Account No. 50-1446 in the amount of \$\_\_\_\_\_. A duplicate of this request is attached.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

August 23, 2002

Date

SPB/eav

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Elizabeth A. VanAntwerp

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

[x] Reference AD (USSN 10/210,309) contained in the Information Disclosure Statement filed herewith was *first* filed in the U.S. PTO not more than three months prior to the filing of this Information Disclosure Statement.

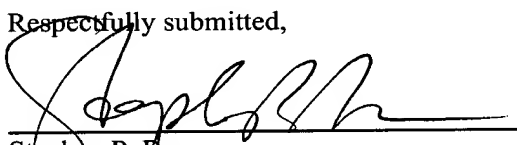
OR

[ ] No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

August 23, 2002

Date

  
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*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

**IDENTIFICATION OF COPENDING APPLICATION**

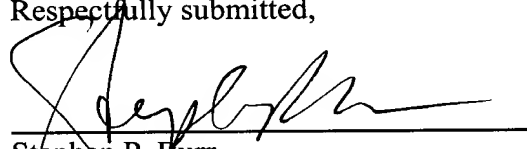
Sir:

The attention of the Patent Office is drawn to the copending application listed on the attached Form PTO-1449 which may contain technical subject matter related to that of the present invention.

A copy of the claims for the copending application is attached (a complete copy of the parent application from which the newly cited application claims priority was filed July 23, 2002). This identification is not to be construed as a waiver of secrecy as to the cited application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

August 23, 2002

Date

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